

Receipt date: 11/05/2007

11/05/2007 MON 10:42 FAX 16502127562 +44 571-273-8300

RECEIVED 11/05/2007 15:449 - GAU: 3738  
CENTRAL FAX CENTER

005/005

NOV 05 2007

Approved for use through 09/30/2007. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

## **Complete if Known**

Application Number 10/658,449  
Filing Date September 09, 2003  
First Named Inventor Mark Reiley  
Art Unit 3738  
Examiner Name ISABELLA, DAVID J  
Attorney Docket Number 10002-701.407

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	384	REILEY, MARK; U.S. Pat. App. # 11/839,434 entitled "Facet arthroplasty devices and methods", filed 8/15/2007.	
	385	REILEY, MARK; U.S. Pat. App. # 11/824,012 entitled "Facet arthroplasty device and methods," filed 6/29/2007.	
	386	REILEY, MARK; U.S. Pat. App. # 11/831,870 entitled "Prostheses systems and methods for replacement of natural facet joints with artificial facet joint surfaces," filed 7/31/2007.	
	387	RALPH et al; U.S. Pat. App. # 11/837,335 entitled "Angled Washer Polyaxial Connection for Dynamic Spine Prostheses," filed 8/10/2007.	
	388	REILEY, MARK; U.S. Pat. App. # 11/776,174 entitled "Facet arthroplasty devices and methods," filed 7/9/2007.	
	389	STONE et al; U.S. Pat. App. # 11/861,239 entitled "Facet Replacement Device Removal and Revision Systems and Methods" filed 6/25/2007.	

Examiner Signature /Christopher Prone/ Date Considered 02/24/2009

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language translation is attached.  
 This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2.